



تيرا لتجارة الذهب ش.ذ.م.م
TIERRA GOLD TRADING L.L.C

ACCOUNT OPENING FORM

Account Type <i>(Please tick)</i>	Corporate	Sole Proprietorship	Individual
COMPANY INFORMATION			
Name			
Trade License / Business Registration No.			
Issuing Authority			
Date and Place of Incorporation			
Legal Form (e.i. LLC, DMCC, LTD, etc.)			
Tax Identification No.			
Phone No.			
Fax No.			
Email Address			
Website			
Registered Address <i>(Please provide complete information to physically locate your office. Office No. Building No. Street, City, P.O. Box, ZIP Code, Country)</i>			
Business Address <i>(Please provide complete information to physically locate your office. Office No. Building No. Street, City, P.O. Box, ZIP Code, Country)</i>			
No. of direct and indirect subsidiaries			
Type of Business			
Nature of Business / Occupation			
Source of Funds			
Company Size <i>(no. of employees within the company)</i>			

TIERRA GOLD TRADING LLC

Office No. M-12, Kaltham Ayesha Bldg., Al Dhagaya, Deira, Dubai, United Arab Emirates

(initial here)



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BENEFICIAL OWNER/S <i>(Holds 10% or more of the share capital. Please print another page if necessary)</i>				
NAME	ADDRESS	NATIONALITY	PASSPORT DETAILS <small>(Issuer, Number, Expiry)</small>	PERCENTAGE HOLDING

SHAREHOLDER/S <i>(If Company - provide details of beneficial owners of a shareholder company using the beneficial owner form.)</i>				
NAME	ADDRESS	COUNTRY OF INCORPORATION / NATIONALITY	DATE OF INCORPORATION / APPOINTMENT	PERCENTAGE HOLDING

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MANAGEMENT STRUCTURE				
NAME	POSITION <i>(Board of Director or Management)</i>	DESIGNATION	NATIONALITY	PASSPORT DETAILS <i>(Issuer, Number, Expiry)</i>
AUTHORIZED SIGNATORY <i>(If other than the owner, please provide supporting documents)</i>				
Authorized Signatory 1 Name		Signature		
Authorized Signatory 2 Name		Signature		
Authorized Signatory 3 Name		Signature		
Authorized Signatory 4 Name		Signature		
Authorized Signatory 5 Name		Signature		

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COMPLIANCE QUESTIONNAIRE		
RESPONSIBLE PRECIOUS METAL SUPPLY CHAIN POLICY	YES	NO
1. Did your company establish a responsible supply chain of gold from conflict-affected and high risk areas policy which is consistent with the standards set forth in the model supply chain policy in Annex II of the OECD Due Diligence Guidance for Responsible Supply Chain of Minerals from Conflict-Affected and High-Risk Areas? If Yes, please provide a copy.		
2. Does your company comply or plan to comply with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas?		
ANTI-MONEY LAUNDERING (AML) AND COMBATING FINANCIAL TERRORISM (CFT)	YES	NO
1. Did your company establish a conformity program that contains AML/CFT policies and procedures according to internal and international laws, rules and regulations? If Yes, please provide a copy.		
ANTI-BRIBERY POLICY	YES	NO
1. Does your company have an anti-bribery policy in place? If Yes, please provide a copy.		
DECLARATION		
<p>I/We hereby acknowledged that we have received a copy of Tierra Gold Trading LLC Supply Chain Policy and we undertake to review it thoroughly and to comply with its provisions.</p> <p>I/We hereby confirm that we have read and understood OECD Due Diligence Guidance for Responsible Supply Chain of Minerals from Conflict-Affected and High Risk Areas, LBMA Responsible Gold Guidance, DMCC Practical Guidance and Tierra Gold Trading LLC Compliance Manual and we undertake to comply with its provisions.</p> <p>I/We hereby undertake that our sources of precious metals are free from conflict financing, criminal funding, worst forms of child labour and human rights abuses.</p> <p>I/We hereby confirm that the above information is true and correct, and that we are the duly authorized to enter into this account opening agreement and the supporting documents provided hereto are genuine and authentic.</p> <p>I/We hereby authorize Tierra Gold Trading LLC to obtain pertinent information from clients, banks and any other sources necessary for the objective evaluation for this application.</p>		
Company Name: _____	STAMP AND SIGNATURE	
Name of Authorized Signatory: _____		

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Required Documentation for Corporate Account Opening / Renewal

- A.** Proof of legal existence of Applicant Company:
- Trade License (if relevant in country of incorporation)
 - Certificate of Incorporation
 - Memorandum and Articles of Association
 - Shareholders Register
- B.** Proof of Applicant Company's physical address in country of origin and physical address within the UAE (when applicable):
- Original utility bill; or
 - Copy of lease/purchase agreement; or
 - Original statement from a financial institution
- C.** Contact details of Applicant Company:
- Office telephone number(s); and
 - Office fax number(s); and
 - Office email address; and
 - Website address
- D.** Identities and Addresses of all controlling individuals of the Applicant Company and its assets (shareholders, beneficial owners, partners):
- Copy of passport
 - Original utility bill; or
 - Copy of lease/purchase agreement
- E.** Identities and Addresses of all authorised signatories of the Applicant Company (if different to those at D above)
- Declaration by the authorised signatories that the beneficial owners mentioned in D are the sole beneficial owners of the Applicant Company
- F.** Identities and Addresses of all persons authorized to deal on behalf of the Applicant Company (if different to those at D and E above)
- G.** Identities and Addresses, (if different to those at D, E and F above) of:
- Individuals holding Powers of Attorney from Applicant Company; and
 - Third Party mandate holders of Applicant Company
- H.** First class bank reference whereby the Applicant Company has been known to the issuing bank for at least two years:
- I.** Last two years audited Applicant Company accounts

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